

FOREIGN TRADING FACILITY

Note: This document and the Client's Application Form for Individual/Corporate Applicant collectively forms the Agreement between the Client and UOB Kay Hian Securities (M) Sdn. Bhd.

¹ Client Name _____

Client Code _____ Remisier Name/Code _____

Please tick in the relevant box

<input type="checkbox"/> Existing Client		<input type="checkbox"/> New Client <i>(Kindly complete the Application Form for Individual/Corporate Applicant)</i>	
Facility/ies Required	<input type="checkbox"/> Foreign Trading (FT)	<input type="checkbox"/> Online FT	<input type="checkbox"/> ² Multicurrency Settlement
Subscription and Access to Foreign Exchanges	<input type="checkbox"/> Delayed Price Feed	All Exchanges (No Charges)	
	<input type="checkbox"/> # Live Price Feed	Singapore (SGX)	
	<input type="checkbox"/> # Live Price Feed	Hong Kong (HKEx)	
	<input type="checkbox"/> # Live Price Feed	³ US (Nasdaq, NYSE & AMEX)	
	<input type="checkbox"/> # Live Price Feed	Others _____	
Residential Status <i>For Exchange Control</i>	<input type="checkbox"/> Resident	<input type="checkbox"/> Non-Resident - <i>Please state country of Resident</i> _____	
	<i>For Resident, please refer to part (v) of the Acknowledgement and Declaration herein</i>		
Dealings in Securities	<input type="checkbox"/> Beneficial Owner	<input type="checkbox"/> ⁴ Authorised Nominee	<input type="checkbox"/> ⁴ Exempt Authorised Nominee

- Note:**
- For Corporate client, kindly provide Board of Directors Resolution on the person(s) authorised to trade
 - Client who select Multicurrency Settlement, the default settlement currency is the Exchange's traded currency. If Client do not select Multicurrency Settlement, the default settlement currency is in MYR
 - Client who request for Live Price Feed must execute the Subscriber Agreement for NYSE and Nasdaq
 - If you are not the Beneficial Owner, kindly make declaration as per Rule 5.15(8) of the Rules of Bursa Malaysia Securities Berhad
- # Please take note that there will charges to Live Price Feed

TERMS & CONDITIONS FOR FOREIGN TRADING

UOB Kay Hian Securities (M) Sdn Bhd ("Company") in collaboration with its appointed Market Data Provider offers trading in foreign securities via its website under such domain name (and/or any other name to be determined by the Company from time to time). The website and the Market Data are made available to the Applicant ("Client") directly if the Client sign up for Online Trading likewise the Client may opt to trade through their Dealers Representative.

In consideration of the Company providing the Foreign Trading service for foreign securities, the Client hereby undertake and agree at all times to abide by the terms and conditions contained herein which shall form part of and are to be read collectively with the Covenants and Undertaking in the Application Form for Individual/Corporate Applicant and/or the agreement(s) executed between the Client and the Company (relating to the products and services by the Company and the terms and conditions therein and all supplements, amendments and variations thereto shall collectively constitute the contract between the Client and the Company.

1 Definition

"Exchange" in the case of foreign securities means the relevant Securities Exchange on which the Transactions are effected

"Foreign Rules" means all relevant rules, bye-laws, customs, practices, notices, directives and regulations for the time being of any foreign stock exchange or any governmental or regulatory authorities of any foreign country, whether having the force of law or not, and all applicable laws in such foreign country which the Company and/or the Client may be bound or which applies to any of the Transactions and Transactional Services;

"Foreign Securities" means Securities that are listed on a Securities Exchange outside of Malaysia and are not traded on Bursa Securities;

"Online Trading" means the internet based electronic facility(ies) that the Company makes, will make or has made available from time to time to the Client that will enable the Client to effect the Transactions and/or obtain market information and data, news, quotations, research information and analysis, alerts and any other information or publication whether in respect of the Transactions or otherwise;

"Website" means the website owned, operated, maintained and/or designated by the Company and accessible at the internet uniform resource locator (URL) designated by the Company from time to time that will enable the Client to gain access to the Online Trading.

2. Rules Governing Foreign Transactions

- 2.1 In addition to Bursa Rules, the Client agrees that his transactions shall be governed by all the applicable constitution, by-laws, rules, regulations of the relevant exchanges on which the foreign securities are listed which includes all regulatory and government bodies and the clearing organizations of the relevant foreign countries and shall be fully responsible to comply with the stipulation therein. The Client hereby gives consent to the Company to provide, where required, the information relating to his account to the relevant party.
- 2.2 The Company is entitled and hereby authorised by the Client, to take any action or refrain from taking any action which the Company considers appropriate for the purpose of complying with the Foreign Rules. The Company nor any of their respective officers, directors or employers shall not be held liable on any action taken to comply with any such Rule, law or regulation, including, without limitation, any liquidation, in whole or in part, his positions or any other action taken in the event that any exchange declares an emergency.

3. Market Data Provider/Foreign Exchanges

- 3.1 The Client shall be bound by any other terms and conditions of agreements executed between the Company and the Market Data Provider and/or relevant foreign exchange in relation to services rendered to facilitate his trading in foreign securities. In relation to Online Services, the Company may in its absolute discretion, determine and vary the frequency, manner of use or availability thereof to the Client from time to time.
- 3.2 The Client comprehend the Company may be obliged to regard itself as being primarily responsible for the execution of his orders. Therefore the Company may, but is not obliged to take such measures (including effecting payment and settlement in respect of the orders which have been executed) as far as may be necessary to ensure non-default of the Company's own primary responsibility as aforesaid. The Client acknowledges that the Company is not obligated to provide the Client with notice prior to effecting payment and settlement in respect of the orders which have been executed. The Company shall be unconditionally entitled to claim all sums from me/us expended by the Company to effect payment and settlement in respect of my/our orders and instructions that have been executed.

4. Market Data and Execution Delay

- 4.1 Though the Company provides a platform for trading in foreign securities by means of Market Data Provider, the Client acknowledges that there may be delays in stocks quotes and execution of orders. While the Company will take reasonable care to mitigate any such delay, the Client agree that neither the Company nor any of its officers, agents or employees shall be liable for the accuracy, completeness and timeliness of the information or execution or for any decision made or action taken by the Client in reliance upon the information provided or for any interruption of any data or information provided therein.

5. Trading Limit

- 5.1 The Company may in its absolute discretion determine and vary the available trading limit based on the Client's collateral (cash deposit or pledged shares) maintained with the Company for dealing in foreign securities. The Client further agrees that the Company shall have the right at any time to refuse his orders or limit the purchases/sales ordered by him.

6. Settlement Date

- 6.1 The Client acknowledges that the delivery and settlement between the Company and the Client in respect of transactions in the securities shall be effected not later than the scheduled statement date of the relevant exchange. When the scheduled settlement date falls on a public holiday in Malaysia, settlement shall be effected on the following market day for Malaysia.

7. Restricted Trading Days

- 7.1 The Client's foreign securities trading may be restricted to days when the Malaysian exchange, Bursa Malaysia, is open for trading. Therefore the Client may be unable to trade in securities when Bursa Malaysia, is closed for trading notwithstanding that the relevant exchange on which the Client wishes to trade may be open for trading. The Company may at its absolute discretion (but is not obliged to) increase or decrease the trading days or vary the trading hours from time to time. The Company shall not be liable for any loss, claim, damage, cost or expense suffered or incurred by the Client, or profit or advantage of which the Client may be deprived, which arises from the restricted trading days.

8. Settlement Currency and Foreign Exchange Risks

- 8.1 The Client agrees that all transactions in the securities and monetary obligations relating to the Client's Account shall be settled in Ringgit Malaysia unless otherwise agreed by the parties. All accruals if received in a foreign currency shall be converted at such rate of exchange as may be decided by the Company and credited into the Client's Account in Ringgit Malaysia.
- 8.2 However, subject to the prior approval of the Company, transactions may be settled in a currency other than Ringgit Malaysia but at a rate of exchange determined by the Company in its sole discretion. The Client shall be fully responsible, and shall indemnify the Company save and harmless for any losses, damages, costs and expenses which may result from any currency conversion effected as aforesaid.

9. Commission, Fees, Costs, Charges and Taxes

- 9.1 The Client undertake to pay all charges that may be imposed by the Company and/or any Exchange or clearing organization, including but not limited to any withholding and other taxes and duties imposed by any competent authority on the Client's account or transaction effected by the Client, any forex exchange gain/ loss and any fines or other penalties imposed by any competent authority.

10. Acknowledgement of Risk

- 10.1 The Client acknowledges that there are risks associated with dealings in securities in multiple markets in the manner contemplated herein and agrees to have read, understood and accepted the Risk Disclosure Statement and the risks disclosed therein. The Client further confirms that the Company shall not be responsible for the decision taken by the Client to deal in foreign securities.

11. Automatic Liquidation

- 11.1 The Client agrees that if he does not pay for any securities which he purchases or settle any other transaction with respect to securities by the settlement date of the purchase contract, the Company has the right to liquidate and impose charges on any or all of

these transactions without further notice to the Client. The Company may, but need not, exercise this right on any day after the day on which the right to liquidate first arose. The Company will not be liable to the Client with respect to securities purchase transactions on which the Client has defaulted on for any loss suffered by the Client as a result of any fall in the market price of the securities between the first day the right to liquidate arose and the day it actually sells the securities.

11.2 Furthermore, the Client agrees to pay interest to the Company on all monies due and payable by the Client under his account or with respect to the transactions in the securities by the Client at the prevailing rate of the Company. Such interest will be payable both before as well as after judgment.

12. Rights of Set-Off

12.1 The Client further acknowledges and agrees that the Company has the right:

- (a) to consolidate all or any one of the Client's liabilities to the Company;
- (b) to sell, assign, pledge, utilize, setoff, transfer and/or otherwise dispose, any sums standing to the credit (cash deposit or pledged shares) of any one or more of such accounts in or towards satisfaction of any of liabilities (including but not limited to settlement of any losses, claims, action, interest, suits, proceedings, liabilities, expenses and other charges accruing) to the Company in the Client's foreign securities trading account or in any other respect whether such liabilities in any currency whatsoever be actual or contingent, primary or collateral or several or joint.

13. Nominee/Custodian

13.1 The Client recognise and consent to the Company to hold all securities purchased for him either directly through a Nominee or Custodian (who may effect such holding through a Sub- Nominee or Sub-Custodian). Such Nominee/Custodian and/or such Sub-Nominee or Sub-Custodian may or may not be within Malaysia and in the latter case, the Client consent to the Company having absolute discretion in their appointment or approval in their appointment. All costs associated with the holding of the securities by any of them shall be for the Client's account. Accruals with respect to any and all securities so held if in money form, shall be held or accounted for in its original currency of receipt converted into Ringgit Malaysia (as The Company thinks fit) and credited into the Client's account.

13.2 Without prejudice to the terms for the provision of Nominee/Custodian services with respect to the securities set out in paragraph above, the Client acknowledge and consent to the fact that any securities belonging to the Client held with the Company or its Nominee or Custodian for any reason whatsoever may be held with securities held for other clients of the Company on an aggregate or omnibus basis.

13.3 Without prejudice to any clause herein, the Company is authorized (but is not obliged) either by itself, through a Nominee, Custodian, Sub-Nominee or Sub-Custodian or otherwise, do any lawful act or thing which in the discretion of The Company, is necessary to preserve the integrity of the securities and/or any account and/or to protect the reasonable interests of the Client and/or the Company.

14. Utilisation of Securities

14.1 The Client agrees that:

- (a) any transfer of securities from the Client's account must obtain the Company's prior approval and in compliance with the laws, regulations and rules of the relevant exchange and shall be at the cost of the Client and;
- (b) the Company shall at all times have a general lien over any and all securities held by the Company for the Client as security for any outstanding obligation owed by the Client to the Company. The Company may at all times exercise this lien by effecting a sell out of any or all of such securities and apply the net proceeds towards settlement or discharge of the Client's obligations to the Company.

15. Exclusion of Liability

15.1 The Client acknowledges that the Company shall use reasonable care in the selection of any Broker, Nominee, Custodian, agent or delegate, and shall not be liable for any loss, claim, damage, expenses or liability suffered or incurred by the Client, or profit or advantage of which he may be deprived, which arises from or in connection with:-

- (a) the insolvency of any Brokers, Nominee, Custodian, Sub-Nominee and Sub-Custodian; or
- (b) any act or omission of any broker, Nominee, Custodian, Sub-Nominee or Sub-Custodian, except in so far as the same arises as a result of the fraud, gross negligence and or willful default of the Company

15.2 The Company shall not be liable or have any responsibility to the Client for any loss or damage incurred or suffered by him if the performance of the Company's obligations is interrupted, delayed or prevented by circumstances, acts or events beyond its reasonable control. This shall include but not be limited to industrial disputes, acts or regulations of any governmental authorities or stock exchanges or breakdown, failure or malfunction of telecommunications or computer service or systems.

16. Indemnity

16.1 The Client hereby indemnify the Company and keep the Company indemnified from and against and in respect of all liabilities, losses, charges, expenses (inclusive legal fees and cost) claims, demands, actions and proceedings whatsoever which may be taken against or incurred or sustained by the Company directly or indirectly from or by reason of or in relation to or arising from or in connection with the use of the Client's Account(s) for trading in foreign securities or breach of any of the provisions of the all terms and conditions entered by the Client.

17. No Guarantee or Warranty

17.1 The Client acknowledges that any trading recommendations and market or other information provided by the Company are extraneous to the provision of services under the trading terms and do not constitute any suggestion or an offer to sell or the solicitation of an offer to buy any securities in the relevant exchanges. Such recommendations and information although based upon information obtained from sources believed by the Company to be reliable, may be incomplete, may not have been verified and may be changed without notice to me/us. The Company makes no representation, warranty or guarantee as to the accuracy or completeness of any market or other information or trading recommendations furnished or as the tax consequences of the Client's transactions.

18. Authorisation

18.1 Without prejudice to any clause herein, the Company is authorized (but is not obliged), either by itself, through its Nominee, Custodian, Sub-Nominee or Sub-Custodian or otherwise, to do any lawful act or thing which in the discretion of the Company is necessary to preserve the integrity of the securities custodies and/or any account and/or to protect the reasonable interests of the Client and/or the Company.

19. Termination

19.1 The Client agrees that the Company may, by notice in writing, at its sole and absolute rights terminate the Foreign Securities Trading in the event of any failure by the Client to observe the terms and conditions herein and/or that the Company is in opinion, that the continued trading is not to the mutual benefit of both party. Upon termination, the Company shall be entitled to utilise any amount due to the Client and/or liquidate the securities to settle any outstanding amounts without further notice to the Client. The remaining amount shall be refunded to the Client in such manner as the Client shall direct. The remaining securities belonging to the Client shall be transferred to such account with such custodian or otherwise dealt with in the manner as the Client shall specify at the cost and expense of the Client subject to the laws, regulations and rules of the relevant jurisdiction.

20. Law and Jurisdiction

20.1 The Client agrees to submit to the exclusive jurisdiction of the Courts of Malaysia but the Company will be at liberty to settle any disputes that may arise out of or in connection with the Foreign Securities Account in any court in any jurisdiction. All transactions, terms and conditions herein and agreements between the Client and the Company shall unless otherwise agreed be governed by and interpreted in accordance with the laws of Malaysia

21 Variations

21.1 In the event of any conflict between these terms and conditions and the Foreign Rules, these terms and conditions shall be modified or superseded to the extent necessary to eliminate such conflict, but shall in all other respects continue in full force and effect. The Client agrees that the Company may at its absolute discretion vary or add to the terms and conditions herein. Without limitation to the foregoing, such notices may be included as part of the Client's monthly statement of account which such variations shall be deemed to be binding on the Client.

RISK DISCLOSURE STATEMENT

Foreign securities are subject to the laws and regulations of the jurisdiction it is listed in. Before you trade in foreign securities or authorise someone else to trade for you, you should be aware of the risks that may affect the value of your investment.

1. This statement is provided to you in accordance with Rules of Bursa Malaysia Securities Berhad.
2. This statement does not disclose all the risks and other significant aspects of trading in foreign securities. You should undertake such transactions only if you understand and are comfortable with the extent of your exposure to the risks.
3. You should carefully consider whether such trading is suitable for you in light of your experience, objectives, risk appetite, financial resources and other relevant circumstances. In considering whether to trade or to authorise someone else to trade for you, you should be aware of the following:
 - (a) Foreign markets may be subject to different regulations, and may operate differently from Bursa Exchange in Malaysia. For example, there may be different rules providing for the safekeeping of securities and monies held by custodian banks or depositories. This may affect the level of safeguards in place to ensure proper segregation and safekeeping of your investment products or monies held in foreign countries. There is also the risk of your investment products or monies not being protected if the custodian has credit problems or fails. Foreign markets may also have different periods for clearing and settling transactions. These may affect the information available to you regarding transaction prices and the time you have to settle your trade on such foreign markets.
 - (b) Foreign markets may be subject to rules which may offer different investor protection as compared to Malaysia. Before you start to trade, you should be fully aware of the types of redress available to you in Malaysia and other relevant jurisdictions, if any.
 - (c) Foreign securities may not be subject to the same disclosure standards that apply to investment products listed for quotation or quoted on Bursa Exchange in Malaysia. Where disclosure is made, differences in accounting, auditing and financial reporting standards may also affect the quality and comparability of information provided. It may also be more difficult to locate up-to-date information, and the information published may only be available in a foreign language.
 - (d) In some countries, legal concepts which are practiced in mature legal systems may not be in place or may have yet to be tested in courts. This would make it more difficult to predict with a degree of certainty the outcome of judicial proceedings or even the quantum of damages which may be awarded following a successful claim.
 - (e) The Ministry of Finance in Malaysia may be unable to compel the enforcement of the rules of the regulatory authorities or markets in other jurisdictions where your transactions will be effected.
 - (f) The laws of some jurisdictions may prohibit or restrict the repatriation of funds from such jurisdictions including capital, divestment proceeds, profits, dividends and interest arising from investment in such countries. Therefore, there is no guarantee that the funds you have invested and the funds arising from your investment will be capable of being remitted.
 - (g) Some jurisdictions may also restrict the amount or type of investment products that foreign investors may trade. This can affect the liquidity and prices of the foreign securities that you invest in.
 - (h) There may be tax implications of investing in foreign securities. For example, sale proceeds or the receipt of any dividends and other income may be subject to tax levies, duties or charges in the foreign country, in Malaysia or in both countries.
 - (i) Your investment return on foreign currency-denominated securities may be affected by exchange rate fluctuations where there is a need to convert from the currency of denomination of the investment products to another currency, or may be affected by exchange controls.

- (j) You may have to pay additional costs such as fees and broker's commissions for transactions in foreign exchanges. In some jurisdictions, you may also have to pay a premium to trade certain listed foreign securities. Therefore, before you begin to trade, you should obtain a clear explanation of all commissions, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.
- (k) Transactions on foreign exchanges or foreign markets are generally effected by the Malaysian Broker through the use of foreign brokers who have trading and/or clearing rights on those exchanges. All transactions that are executed upon your instructions with such counterparties and correspondent brokers are dependent on their respective due performance of their obligations. The insolvency or default of such counterparties and correspondent brokers may lead to positions being liquidated or closed out without your consent and/or may result in difficulties in recovering your monies and assets held foreign countries.
- (l) Foreign markets are influenced by the political, economic and social developments in the foreign jurisdiction, which may be uncertain and may increase the risk of investing in foreign securities.

AUTHORISATION AND UNDERTAKING FOR MULTICURRENCY SETTLEMENT

AUTHORISATION

- 1 I/We hereby state my/our consent and authorise UOB Kay Hian Securities (M) Sdn Bhd ("the Company") with immediate effect:
 - a) to settle my/our foreign securities transactions in the foreign market in the settlement currency of my/our choice that I/we notify or select in the Foreign Trading Facility form and/or any updates therefrom.
 - b) in the event I/we fail to inform or select the settlement currency, the Company is entitle at their discretion to settle my/our trade in Malaysian Ringgit (MYR) and/or any other currency and I/we will not raise any objection against the Company.
 - c) in the event I/we wish to settle in currency other than the currency I/we have notified or selected in the Foreign Trading Facility form and/or any updates therefrom, then I/we must notify the Dealer's Representative ("DR) by end of the trading day. Nevertheless, the Company shall have the right at any time to refuse to act on such instruction and I/we hereby agree that the Company shall not be liable for any loss and/or cost which I/we may incur.
 - d) furnish the contract notes for my/our foreign securities transactions in the respective country's trading currency as well as MYR.
- 2 I/We hereby authorise my/our DR on my/our behalf to give instruction to the Company to amend and/or to change the settlement currency for my/ our transaction conducted on the foreign market. I/We further authorise the DR to execute any relevant form(s) as required by you from time to time for the above purpose.
- 3 I/We further authorise the Company to perform the following in respect of my/our trust account:
 - a) convert from my/ our domestic trust account or withdraw from my/our foreign currency trust account for the settlement purpose including to settle any interest, fees or charges, taxes, fines, penalty, corporate actions in respect of the transactions conducted on the foreign market
 - b) to credit into my/our foreign currency trust account and/or to convert to MYR and credit my/our domestic trust account, for any sales proceeds due from sales of securities listed on the foreign market
 - c) to withdraw from my/our foreign currency trust account(s) and remit to my/our Foreign Currency Account with any financial institutions.

DECLARATION, UNDERTAKING AND ACKNOWLEDGMENT

- 1 In consideration of the abovementioned authorization and request, I/we hereby acknowledge, accept and agree with the following terms and conditions:
 - a) to bear any fees, charges and/or penalties which may be charged by any agency banks or banking institutions should I/we remit foreign currency inward or outward via telegraphic transfers.
 - b) to pay the administrative fees charged by the Company for transactions conducted on the foreign market arising from my/our request to settle the above trades in foreign currency as may be imposed by the Company from time to time
 - c) that the settlement currency selected by me/us when I/we place my/our order cannot be changed once the contract has been booked out
 - d) in the event I/we choose to settle in foreign currency but fail to make available the required fund, I/we agree that the trade settlement shall be by default currency of MYR and I/we shall be liable for any additional charges/fees imposed by the company
 - e) acknowledges and agrees that there are risks associated with dealing in securities in multiple markets and the settlement in the traded currency/ies
 - f) agrees that the Company has the right to charge interest in the traded currency on all overdue debit sum
 - g) to abide the Company's internal policies and any amendments or variation thereof.
- 2 In consideration of the abovementioned authorization and request, I/we hereby irrevocably undertake to indemnify the Company from and against all actions, proceedings, claims, demands, losses, penalties, fines, damages, costs, charges and expenses which the Company may sustain, incur and be liable to in consequence of or attributable to or arising from the Company performing the aforesaid request. The right of indemnity shall continue in full effect notwithstanding the suspension, termination or closure of my/our account with the Company.

ACKNOWLEDGEMENT AND DECLARATION BY APPLICANT

I/We hereby declare that:

- i. the information given is true and correct and that I have not willfully withheld any material facts and that I undertake to notify the UOB Kay Hian Securities (M) Sdn Bhd (“the Company”) immediately in writing of any changes in such information
- ii. undertake to abide by all applicable statutes and laws and the rules and regulations of all applicable governmental and regulatory bodies and agencies and other applicable regulatory requirements relating to my/our foreign trading activities with you including without limitation to the Rules and Regulations of Bursa Malaysia Securities Berhad (BMSB), Capital Market and Services Act, by-laws, guidelines, directives by Bursa Malaysia Securities Berhad and any other approved Exchanges, Clearing Houses, Central Depositories, Bank Negara Malaysia and any relevant regulatory bodies and any other governing rules now in force or from time to time amended, revised or supplemented in respect of all transactions effected through my Foreign Trading Account whether or not on BMSB or other approved Exchanges;
- iii. further agree to comply with the rules, directives, policies, Terms and Conditions set by the Company relating to the operation of my/our Foreign Trading Account which may be varied from time to time;
- iv. I/we have read the Risk Disclosure Statement for Foreign Trading of securities listed on the foreign stock exchanges and understand its contents, which have been explained to me/us.
- v. agree that your acceptance of my/our applications shall constitute a valid agreement binding on me/us based on this declaration, information from the Application Form For Individual/Corporate Applicant and stipulations contained in the Covenants and Undertakings, Terms and Conditions of the Trading Account, as if an agreement under any written law relating to dealing in shares and/or other securities has been properly constituted and executed; and
- vi. declare that I/we have been briefed and understand Bank Negara Malaysia’s Foreign Exchange Administrative Policy on the Multi-Currency Securities and and further declare that:-
 - a) I/we shall consent to abide with and be bound by the provision of the Exchange Control Act 1953 and any amendments;
 - b) I/we *** have domestic ringgit borrowing(s) / do not have domestic ringgit borrowing(s)**;
 - c) I/we shall be responsible to monitor my/our share trading, limits and ensure compliance with the Exchange Control Act 1953 and it is my/our responsibility to notify if my/our domestic ringgit borrowings status should change

** Signature of Applicant

*** Signature of Witness

Name : _____

Name : _____

NRIC NO : _____

NRIC NO : _____

Date : _____

Date : _____

* Delete whichever is not appropriate

** If the Applicant is a corporation, please sign as per Board Resolution and affix the company rubber stamp or common seal

*** Insert Name, NRIC No and Address of witness who must be the Dealer’s Representative assigned, a member of the Management or a Notary public if the Applicant is residing outside Malaysia

FOR OFFICE USE ONLY

Processed By : _____

Date : _____

Verified By: _____

Date : _____

Approved By : _____

Date : _____

Key-In By : _____

Date : _____

IRESS Web Admin

Processed By : _____

Date : _____

Checked By : _____

Date : _____

Remarks : _____
